

# Good research practice - in class exercise

Methods of Scientific Working for Crop Science (3502-440)

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## Background

In the following a selection of rules for good research practice of the DFG are shown, and in particular those which are relevant for early career researchers (ECR).

The rules are formulated guidelines, and not strict laws. For this reason, it is necessary to translate them into more specific guidelines and rules for the day-to-day life of a researcher. We will do this by discussing some more or less real life examples.

## Guideliness for good research practice by DFG

These recommendations are published here on the website of the Deutsche Forschungsgemeinschaft (DFG).

### Guideline 4: Responsibility of the heads of research work units

The head of a research work unit is responsible for the entire unit. Collaboration within the unit is designed such that the group as a whole can perform its tasks, the necessary cooperation and coordination can be achieved, and all members understand their roles, rights and duties. The leadership role includes ensuring adequate individual supervision of early career researchers, integrated in the overall institutional policy, as well as career development for researchers and research support staff. Suitable organisational measures are in place at the level of the individual unit and of the leadership of the institution to prevent the abuse of power and exploitation of dependent relationships.

#### Explanation:

The size and the organisation of the unit are designed to allow leadership tasks, particularly skills training, research support and supervisory duties, to be performed appropriately. The performance of leadership tasks is associated with a corresponding responsibility. Researchers and research support staff benefit from a balance of support and personal responsibility appropriate to their career level. They are given adequate status with corresponding rights of participation. Through gradually increasing autonomy, they are empowered to shape their career.

### Guideline 5: Dimensions of performance and assessment criteria

#### Explanations:

To assess the performance of researchers, a multidimensional approach is called for; in addition to academic and scientific achievements, other aspects may be taken into consideration. Performance is assessed primarily on the basis of qualitative measures, while quantitative indicators may be incorporated into the overall assessment only with appropriate differentiation and reflection. Where provided voluntarily, individual circumstances stated in curricula vitae – as well as the categories specified in the German General Equal Treatment Act (Allgemeines Gleichbehandlungsgesetz) – are taken into account when forming a judgement.

High-quality research is oriented towards criteria specific to individual disciplines. In addition to the generation of and critical reflection on findings, other aspects of performance are taken into consideration in the evaluation process. Examples include involvement in teaching, academic self-governance, public relations, and knowledge and technology transfer; contributions to the general good of society may also be recognised. An individual's approach to research, such as an openness to new findings and a willingness to take risks, is also considered. Appropriate allowance is made for periods of absence due to personal, family or health reasons or for prolonged training or qualification phases resulting from such periods, and for alternative career paths or similar circumstances.

## **Guideline 12: Documentation**

Researchers document all information relevant to the production of a research result as clearly as is required by and is appropriate for the relevant subject area to allow the result to be reviewed and assessed. In general, this also includes documenting individual results that do not support the research hypothesis. The selection of results must be avoided. Where subject-specific recommendations exist for review and assessment, researchers create documentation in accordance with these guidelines. If the documentation does not satisfy these requirements, the constraints and the reasons for them are clearly explained. Documentation and research results must not be manipulated; they are protected as effectively as possible against manipulation

### **Explanations:**

An important basis for enabling replication is to make available the information necessary to understand the research (including the research data used or generated, the methodological, evaluation and analytical steps taken, and, if relevant, the development of the hypothesis), to ensure that citations are clear, and, as far as possible, to enable third parties to access this information. Where research software is being developed, the source code is documented

## **Guideline 13: Providing public access to research results**

As a rule, researchers make all results available as part of scientific/academic discourse. In specific cases, however, there may be reasons not to make results publicly available (in the narrower sense of publication, but also in a broader sense through other communication channels); this decision must not depend on third parties. Researchers decide autonomously – with due regard for the conventions of the relevant subject area – whether, how and where to disseminate their results. If it has been decided to make results available in the public domain, researchers describe them clearly and in full. Where possible and reasonable, this includes making the research data, materials and information on which the results are based, as well as the methods and software used, available and fully explaining the work processes. Software programmed by researchers themselves is made publicly available along with the source code. Researchers provide full and correct information about their own preliminary work and that of others.

### **Explanations:**

In the interest of transparency and to enable research to be referred to and reused by others, whenever possible researchers make the research data and principal materials on which a publication is based available in recognised archives and repositories in accordance with the FAIR principles (Findable, Accessible, Interoperable, Reusable). Restrictions may apply to public availability in the case of patent applications. If self-developed research software is to be made available to third parties, an appropriate licence is provided. In line with the principle of “quality over quantity”, researchers avoid splitting research into inappropriately small publications. They limit the repetition of content from publications of which they were (co-)authors to that which is necessary to enable the reader to understand the context. They cite results previously made publicly available unless, in exceptional cases, this is deemed unnecessary by the general conventions of the discipline

## Guideline 14: Authorship

An author is an individual who has made a genuine, identifiable contribution to the content of a research publication of text, data or software. All authors agree on the final version of the work to be published. Unless explicitly stated otherwise, they share responsibility for the publication. Authors seek to ensure that, as far as possible, their contributions are identified by publishers or infrastructure providers such that they can be correctly cited by users.

### Explanations:

The contribution must add to the research content of the publication. What constitutes a genuine and identifiable contribution must be evaluated on a case-by-case basis and depends on the subject area in question. An identifiable, genuine contribution is deemed to exist particularly in instances in which a researcher – in a research-relevant way – takes part in

- the development and conceptual design of the research project, or
- the gathering, collection, acquisition or provision of data, software or

sources, or

- the analysis/evaluation or interpretation of data, sources and conclusions drawn from them, or

sources, or

- the drafting of the manuscript.

If a contribution is not sufficient to justify authorship, the individual's support may be properly acknowledged in footnotes, a foreword or an acknowledgement. Honorary authorship where no such contribution was made is not permissible. A leadership or supervisory function does not itself constitute co-authorship. Collaborating researchers agree on authorship of a publication. The decision as to the order in which authors are named is made in good time, normally no later than when the manuscript is drafted, and in accordance with clear criteria that reflect the practices within the relevant subject areas. Researchers may not refuse to give their consent to publication of the results without sufficient grounds. Refusal of consent must be justified with verifiable criticism of data, methods or results

## Discussion questions:

### Authorship

Consider the following case, which is taken from ?:

A professor asked a beginning graduate student to verify that numbers on a data sheet matched those in a figure in a scientific manuscript, and to state in an e-mail that the data were accurate as far as he could tell. The paper described work that had been completed before the student arrived on campus and about which he knew little. Later, the student discovered that the paper was submitted the day he sent his confirmation e-mail – and that he was listed as a co-author.

Discuss:

- What are the pro's and con's of this behavior?
- Do you consider it a good research practise? Why or why not?

### Mentorship

Assume that you are about to start your master thesis in one of the research groups at our University.

1. How would you define good mentorship for your master thesis to ensure that good research practice is achieved?
2. What are **realistic** expectations that you can rightly expect from an advisor?

3. What would you consider as **\*unrealistic** expectations that you may expect from an advisor?

### **Records of your experimental activities**

Assume that the research during your master thesis contributes to a scientific publication, but that you have left the group in which you produced the results. The manuscript was submitted for publication and the reviewers requested some changes that require a partial re-analysis of your data.

1. How would you ensure that the revision of the manuscript based on your work proceeds efficiently?
2. How could you contribute during your work on the master thesis that all the required information is available for everyone else in the process?

### **Project leadership, ownership and responsibility**

A master thesis is often part of a larger research project that is carried out by the group leader and a postdoctoral researcher or a PhD student.

As a beginning master student:

1. What are possible roles of leadership in the project?
2. How would you define ownership in the project?
3. What are the different responsibilities in a project and how would you define them?
4. One recommendation states that quality is superior to quantity in research outputs. How can leadership, ownership and responsibility be organized to achieve this goal?